



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/633,877	08/04/2003	3743	583	00059.01R	18	30	3

CONFIRMATION NO. 5255

37485
SWANSON & BRATSCHUN, L.L.C
1745 SHEA CENTER DRIVE, SUITE 330
HIGHLANDS RANCH, CO80129

UPDATED FILING RECEIPT

Date Mailed: 11/02/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Ron L. Hale, Woodside, CA;
Amy T. Lu, Los Altos, CA;
Daniel J. Myers, Mountain View, CA;
Joshua D. Rabinowitz, Mountain View, CA;
Martin J. Wensley, San Francisco, CA;

Power of Attorney: The patent practitioners associated with Customer Number 37485

Domestic Priority data as claimed by applicant

This application is a CIP of 10/057,197 10/26/2001
which claims benefit of 60/296,225 06/05/2001
This application 10/633,877
is a CIP of 10/057,198 10/26/2001
which claims benefit of 60/296,225 06/05/2001
This application 10/633,877
is a CIP of 10/146,080 05/13/2002
which is a CIP of 10/057,198 10/26/2001
which claims benefit of 60/296,225 06/05/2001
and is a CIP of 10/057,197 10/26/2001
which claims benefit of 60/296,225 06/05/2001
This application 10/633,877
is a CIP of 10/146,086 05/13/2002
and is a CIP of 10/146,088 05/13/2002
which is a CIP of 10/057,198 10/26/2001
which claims benefit of 60/296,225 06/05/2001
and is a CIP of 10/057,197 10/26/2001
which claims benefit of 60/296,225 06/05/2001
This application 10/633,877
is a CIP of 10/146,515 05/13/2002 PAT 6,682,716

which is a CIP of 10/057,198 10/26/2001
which claims benefit of 60/296,225 06/05/2001
and is a CIP of 10/057,197 10/26/2001
which claims benefit of 60/296,225 06/05/2001
This application 10/633,877
is a CIP of 10/146,516 05/13/2002 PAT 6,737,042
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/150,056 05/15/2002 PAT 6,805,853
which claims benefit of 60/345,882 11/09/2001
This application 10/633,877
is a CIP of 10/150,267 05/15/2002 PAT 6,797,259
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/150,268 05/15/2002 PAT 6,780,399
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/150,591 05/17/2002 PAT 6,780,400
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/150,857 05/17/2002 PAT 6,716,415
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/151,596 05/16/2002 PAT 6,855,310
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/151,626 05/16/2002 PAT 6,783,753
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/152,639 05/20/2002 PAT 6,716,416
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/152,640 05/20/2002 PAT 6,743,415
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/152,652 05/20/2002 PAT 6,740,307
and said 10/152,652
claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/153,139 05/20/2002 PAT 6,814,954
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/153,311 05/21/2002 PAT 6,884,408
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
This application 10/633,877
is a CIP of 10/153,313 05/21/2002 ABN
which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001

and claims benefit of 60/345,145 11/09/2001
 This application 10/633,877
 is a CIP of 10/153,831 05/21/2002 PAT 6,740,308
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/153,839 05/21/2002 PAT 6,776,978
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/154,594 05/23/2002 PAT 6,740,309
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/154,765 05/23/2002 PAT 6,814,955
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/155,097 05/23/2002 PAT 6,716,417
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/155,373 05/22/2002 PAT 6,737,043
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 and claims benefit of 60/345,876 11/09/2001
 This application 10/633,877
 is a CIP of 10/155,621 05/22/2002 PAT 6,759,029
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 and claims benefit of 60/332,280 11/21/2001
 and claims benefit of 60/336,218 10/30/2001
 This application 10/633,877
 is a CIP of 10/155,703 05/22/2002 PAT 6,803,031
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/155,705 05/22/2002 PAT 6,805,854
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,877
 is a CIP of 10/280,315 10/25/2002 ABN
 which claims benefit of 60/335,049 10/30/2001
 and claims benefit of 60/371,457 04/09/2002
 This application 10/633,877
 is a CIP of 10/302,010 11/21/2002 PAT 7,078,016
 which claims benefit of 60/332,279 11/21/2001
 This application 10/633,877
 is a CIP of 10/302,614 11/21/2002
 which claims benefit of 60/332,165 11/21/2001
 This application 10/633,877
 is a CIP of 10/322,227 12/17/2002 ABN
 and said 10/322,227
 claims benefit of 60/342,066 12/18/2001 ABN
 and claims benefit of 60/412,068 09/18/2002

Foreign Applications

If Required, Foreign Filing License Granted: 10/31/2003

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is
US10/633,877

Projected Publication Date: 02/08/2007

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Thin-film drug delivery article and method of use

Preliminary Class

128

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).